

Form 1449*	Atty. Docket No.: 457.003US3	Serial No. Unknown
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)	Applicant: David R. Tushie et al.	
	Filing Date: Herewith	Group: Unknown

Sheet 1  
U.S. PTO  
09/4/2006  
10/12/99

### U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
MC	4,772,782	09/20/1988	Nonat, J.	235	380	12/27/85
	4,825,054	04/25/1989	Rust, J.J., et al.	235	380	02/16/88
	4,827,425	05/02/1989	Linden, L.F.	364	478	10/31/86
	4,866,259	09/12/1989	Bonnemoy, M.A.	235	475	08/10/87
	4,874,935	10/17/1989	Younger, T.L.	235	492	10/05/88
	4,882,474	11/21/1989	Anderl, E.C., et al.	235	380	02/05/88
	5,025,399	06/18/1991	Wendt, R.W., et al.	364	519	09/23/88
	5,266,781	11/30/1993	Warwick, D.J., et al.	235	375	08/15/91
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	5,684,742	11/04/1997	Bublitz, H., et al.	365	189.01	06/12/96
	5,889,941	03/30/1999	Tushie, D.R., et al.	395	186	11/22/96

### FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
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### OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner /Mary Cheung/ (10/03/2006)	Date Considered 10/03/2006
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\*Substitute Disclosure Statement Form (PTO-1449)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/416,252
Filing Date	October 12, 1999
First Named Inventor	Tushie, David
Group Art Unit	3621
Examiner Name	Cheung, Mary

Sheet 1 of 1

Attorney Docket No: 457.003US3

**OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MC		"Decision Rejecting the Opposition", <u>European Application No. 97921189.3</u> , (04/19/2006), 15 pgs.	
↓		"English Translation of the Grounds of Opposition", <u>European Patent No.</u> <u>97921189.3</u> , (01/26/2004), 7 pgs.	
		"Grounds for Appeal of Decision by the Opposition Division", <u>European Patent</u> <u>No. 97921189.3</u> , (08/16/2006), 9 pgs.	
		"Minutes of Oral Proceedings before the Opposition Division", <u>European Patent</u> <u>No. 97921189.3</u> , (04/19/2006), 13 pgs.	
↓		"Summons to Attend Oral Proceedings Pursuant to Rule 71(1) EPC (includes Preliminary Opinion of the Opposition Division)", <u>European Patent No.</u> <u>97921189.3</u> , (6/21/2005), 13 pgs.	

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/Mary Cheung/ (10/11/2006)

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Form 1449*  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Atty. Docket No.: 457.003US3	Serial No. <del>7092888</del> 252
	Applicant: David R. Tushie et al. <b>TECH CENTER 2700</b>	
	Filing Date: October 12, 1999	Group: 2787

## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
MC	19536548	09/29/1995	Germany	G06F	9/45	Abstract only	
	430257	06/05/1991	European	G07F	7/10	X	
	778553	06/11/1997	European	G07F	7/10	X	
	95/22810	08/24/1995	PCT	G07F	7/12	X	
	97/39424	10/23/1997	PCT	G06K	19/06	X	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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English-language Abstract for DE 195 36 548 A1 cited above.

Examiner /Mary Cheung/ (10/03/2006)	Date Considered 10/03/2006
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Application Number	09/416,252
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First Named Inventor	Tushie, David
Group Art Unit	3621
Examiner Name	Cheung, Mary

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Attorney Docket No: 457.003US3

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
MC	JP-1-106365 (English Abstract)	04/24/1989	Hagiwara, Yuji	
MC	JP-5-314322 (English Abstract)	11/26/1993	Aisaka, Hiroshi, et al.	

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Application Number	09/416,252
Filing Date	October 12, 1999
First Named Inventor	Tushie, David
Group Art Unit	3621
Examiner Name	Cheung, Mary

Sheet 1 of 1

Attorney Docket No: 457.003US3

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
MC	US-4,747,706	05/31/1988	Duea, D.	08/17/1987

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
MC	WO-8901413	02/23/1989	Duea, D. R.	

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/Mary Cheung/ (10/03/2006)

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